

PATENT  
Attorney Docket No.: COOL-00800

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: ) Group Art Unit: 3753  
Girish Upadhyaya et al. ) Examiner: Eric Keasel  
Serial No.: 10/698,180 ) **TRANSMITTAL LETTER**  
Filed: October 30, 2003 ) 162 N. Wolfe Road  
For: **OPTIMAL SPREADER SYSTEM, ) Sunnyvale, CA 94086  
DEVICE AND METHOD FOR ) (408) 530-9700  
FLUID COOLED MICRO-SCALED ) Customer No. 28960  
HEAT EXCHANGE )**

MS: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Supplemental Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. An originally executed duplicate of this transmittal is enclosed for this purpose.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 6-22-06

By: Thomas B. Haverstock  
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicants

## CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP  
Date: 6-22-06 By: [Signature]



Attorney Docket No.: PATENT  
COOL-00800

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	) Group Art Unit: 3753
Girish Upadhyia et al.	) Examiner: Eric Keasel
Serial No.: 10/698,180	) <b><u>SUPPLEMENTAL INFORMATION</u></b>
Filed: October 30, 2003	) <b><u>DISCLOSURE STATEMENT</u></b>
For: <b>OPTIMAL SPREADER SYSTEM, DEVICE AND METHOD FOR FLUID COOLED MICRO-SCALED HEAT EXCHANGE</b>	) 162 N. Wolfe Road Sunnyvale, CA 94086 (408) 530-9700
	) Customer No. 28960

MS: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicants have become aware of the following printed publications which may be material to the examination of this application:

- U.S. Patent No. 5,564,497; and
- U.S. Patent No. 5,810,077.

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

- 1 -

HAVERSTOCK & OWENS LLP

Date: 6-22-06 By: [Signature]

Attorney Docket No.: PATENT  
COOL-00800

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 6-22-06

By: Thomas B. Haverstock  
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicants

PATENT  
Attorney Docket No.: COOL-00800

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	Group Art Unit: 3753
Girish Upadhyia et al.	)	Examiner: Eric Keasel
Serial No.: 10/698,180	)	<b><u>CERTIFICATION FOR</u></b>
Filed: October 30, 2003	)	<b><u>SUPPLEMENTAL INFORMATION</u></b>
For: <b>OPTIMAL SPREADER SYSTEM,</b>	)	<b><u>DISCLOSURE STATEMENT UNDER 37</u></b>
<b>DEVICE AND METHOD FOR</b>	)	<b><u>CFR § 1.97(e)</u></b>
<b>FLUID COOLED MICRO-SCALED</b>	)	162 N. Wolfe Road
<b>HEAT EXCHANGE</b>	)	Sunnyvale, CA 94086
	)	(408) 530-9700
	)	Customer No.: 28960

MS: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

**Certification**

I, the person signing below, hereby certify that the printed publications contained in the enclosed supplemental information disclosure statement were cited within an Official Action mailed on April 7, 2006. Accordingly, I hereby certify that no reference contained within this supplemental information disclosure statement was cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, no reference contained within this supplemental information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this supplemental information disclosure statement. 37 C.F.R. 1.97(e)(2).

Attorney Docket No.: PATENT  
COOL-00800

**Identification of Person Making Certification**

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 6-22-06

By: Thomas B. Haverstock  
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicants

**CERTIFICATE OF MAILING (By e-mail)**

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

- 2 -

HAVERSTOCK & OWENS LLP  
Date: 6-22-06 By: [Signature]

FORM PTO-1449 (Modified)		JUN 26 2006		U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No.: COOL-00800		Serial No.: 10/698,180	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use Seven-Character Codes Necessary)						Applicants: Girish Upadhyaya et al.			
						Filing Date: October 30, 2003		Group Art Unit: 3753	
(37 CFR § 1.98(b))									
U.S. PATENT DOCUMENTS									
Examiner Initials		Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date		
/LC/	AA	5,564,497	10/15/96	Fukuoka et al.	165	152	11/03/95		
/LC/	AB	5,810,077	09/22/98	Nakamura et al.	165	153	02/20/97		
	AC								
	AD								
	AE								
	AF								
	AG								
	AH								
	AI								
	AJ								
FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS									
		Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation		
							Yes	No	
	AK								
	AL								
	AM								
	AN								
	AO								
OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)									
	AP								
	AQ								
	AR								
	AS								
	AT								
	AU								
	AV								
	AW								
	AX								
	AY								
	AZ								
Examiner:	/Ljiljana Ciric/ (08/01/2008)				Date Considered: 08/01/2008				
EXAMINER:	Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								